DECISION/IUDGEMENT

TO.

#### Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

| DOCKET NO<br>C-11-2079-EDL | DATE FILED<br>4/27/2011  | U.S. DISTRICT COURT<br>Northern District of Çalifornia |                                      |
|----------------------------|--|--|--------------------------------------|
| PLAINTIFF                  |  |  | DEFENDANT                            |
|                            | o., Ltd., a Korean corporation<br>munications America, LLC,<br>y company |  | Apple Inc., a California corporation |
| PATENT OR<br>TRADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK   | HOLDER OF PATENT OR TRADEMARK                          |                                      |
| 17,675,941                 | See Attachment   |  |                                      |
| 27,362,867                 |  |  |                                      |
| 37,447,516                 |  |  |                                      |
| 47,2∞,792                  |  |  |                                      |
| 57,386,001                 | 200 mg   | 1 1  | ,                                    |

#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED              | INCLUDED BY                | G Amendment | G Answer | G Cross Bill    | G Other Pleading |
|----------------------------|----------------------------|-------------|----------|-----------------|------------------|
| PATENT OR<br>TRADEMARK NO. | DATE OF PATE<br>OR TRADEMA |             | HOLDE    | ER OF PATENT OR | TRADEMARK        |
| 17,050,410                 |                            |             |          |                 |                  |
| 26,928,604                 |                            |             |          |                 |                  |
| 36,292,179                 |                            |             |          |                 |                  |
| 17,009,626                 |                            |             |          |                 |                  |
| 57,069,055                 |                            |             |          |                 |                  |

In the above—entitled case, the following decision has been rendered or judgement issued:

| DECISION JOB CENTER |                    |      |  |
|---------------------|--------------------|------|--|
|                     |                    |      |  |
|                     |                    |      |  |
|                     |                    |      |  |
| CLEDIA              | (DV) DEBLITY CLEDY | DATE |  |

- 28. Samsung's technology increases the throughput and capacity of mobile device networks. The rapid increase in usage of the mobile device networks has led to unprecedented demand for increased capacity and throughput, particularly as data-demanding applications such as video have become widespread. Samsung's technology enables a given network to pack in more users' data without increasing the size of the frequency band used, and can therefore accommodate a larger number of users.
- 29. Samsung's technology reduces interference among mobile devices. As more and more mobile devices are used in today's networks, the likelihood of interference among different devices increases, and therefore regulation of the amount of transmit power is important. Samsung's technology provides techniques to regulate the amount of power transmitted by mobile devices, reducing interference in cellular communications.
- 30. The Samsung user interface patents at issue in this action relate to generating and displaying time, keyboard function, and viewing of images on mobile devices.
  - 31. As users travel across time zones, the ability of a mobile device to update to the local time is important for tracking appointments and meetings. Samsung's technology provides a means for a mobile device to control and display world time.
  - 32. Input techniques that do not require a conventional hardware keyboard are important to functionality for small mobile devices. Samsung has developed a technique using a software keyboard system on a touch screen to fill this need.
- 33. Graphical data, e.g., images, are an integral part of modern web pages viewed on computers and other mobile devices. High-resolution images can take time to load, depending on their size and the speed of the user's connection to the network. Samsung's technology permits the user to view an approximation of the image while the full resolution image is loading.

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- 35. Samsung's Captivate and Vibrant mobile phone models, which also incorporate the patented technologies at issue here, were both named to PC Magazine's Top 10 Smartphones list, with the Samsung Captivate being described as a "super-phone," and the Samsung Vibrant being described as T-Mobile's "top smartphone."
- 36. Apple has copied many of Samsung's innovations in its Apple iPhone, iPod, and iPad products. Unlike other Samsung competitors that have respected Samsung's innovations and licensed the Samsung patents covering these technologies, Apple continues to violate Samsung's patent rights by using these patented technologies without a license. 14
- 15 37. SEC is the owner of all rights, title, and interest in the '941 patent, entitled "Method and Apparatus for Transmitting/Receiving Packet Data Using Pre-Defined Length 16 17 Indicator in a Mobile Communication System," which was duly and properly issued by the United States Patent and Trademark Office ("USPTO") on March 9, 2010. A copy of the '941 19 patent is attached as Ex. 1.
- 20 38. SEC is the owner of all rights, title, and interest in the '867 patent, entitled 21 "Apparatus and Method for Generating Scrambling Code in UMTS Mobile Communication 22 System," which was duly and properly issued by the USPTO on April 22, 2008. A copy of the 23 '867 patent is attached as Ex. 2.
- 39. SEC is the owner of all rights, title, and interest in the '516 patent, entitled "Method and Apparatus for Data Transmission in a Mobile Telecommunication System Supporting Enhanced Uplink Service," which was duly and properly issued by the USPTO on 27 November 4, 2008. A copy of the '516 patent is attached as Ex. 3.

41. SEC is the owner of all rights, title, and interest in the '001 patent, entitled 
"Apparatus and Method for Channel Coding and Multiplexing in CDMA Communication 
System," which was duly and properly issued by the USPTO on June 10, 2008. A copy of the 
'001 patent is attached as Ex. 5.

- 42. SEC is the owner of all rights, title, and interest in the '410 patent, entitled

  "Apparatus and Method for Controlling a Demultiplexer and a Multiplexer Used for Rate

  Matching in a Mobile Communication System," which was duly and properly issued by the

  USPTO on May 23, 2006. A copy of the '410 patent is attached as Ex. 6.
  - 43. SEC is the owner of all rights, title, and interest in the '604 patent, entitled "Turbo Encoding/Decoding Device and Method for Processing Frame Data According to QOS," which was duly and properly issued by the USPTO on August 9, 2005. A copy of the '604 patent is attached as Ex. 7.
  - 44. SEC is the owner of all rights, title, and interest in the '179 patent, entitled 
    "Software Keyboard System Using Trace of Stylus on a Touch Screen and Method for 
    Recognizing Key Code Using the Same," which was duly and properly issued by the USPTO on 
    September 18, 2001. A copy of the '179 patent is attached as Ex. 8.
    - 45. SEC is the owner of all rights, title, and interest in the '626 patent, entitled 
      "Systems and Methods for Generating Visual Representations of Graphical Data and Digital 
      Document Processing," which was duly and properly issued by the USPTO on March 7, 2006. A 
      copy of the '626 patent is attached as Ex. 9.
  - 46. SEC is the owner of all rights, title, and interest in the '055 patent, entitled 
    "Mobile Telephone Capable of Displaying World Time and Method for Controlling the Same," 
    which was duly and properly issued by the USPTO on June 27, 2006. A copy of the '055 patent 
    is attached as Ex. 10.

# COUNT I

## INFRINGEMENT OF THE '941 PATENT

- 47. Samsung realleges and incorporates by reference the allegations of Paragraphs

  1-46 of this Complaint as though fully set forth herein.
- 48. Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has been directly infringing, contributing to the infringement of, and/or inducing others to infringe the '941 patent by making, using, selling, and/or offering to sell in the United States, or importing into the United States, products or processes that practice the inventions claimed in the '941 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple iPhone 4, the iPad 3G, and the iPad 2 3G.
- 49. As a result of Apple's unlawful infringement of the '941 patent, Samsung has suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the damages suffered by Samsung as a result of its unlawful acts.
- 50. On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm for which there is no adequate remedy at law from such unlawful infringing activities unless this Court enjoins Apple from further infringing activities.

#### COUNT II

## INFRINGEMENT OF THE '867 PATENT

Samsung realleges and incorporates by reference the allegations of Paragraphs
 1-50 of this Complaint as though fully set forth herein.

Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has

been directly infringing, contributing to the infringement of, and/or inducing others to infringe
the '867 patent by making, using, selling, and/or offering to sell in the United States, or
importing into the United States, products or processes that practice the inventions claimed in the
'867 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple
iPhone 4, the iPad 3G, and the iPad 2 3G.

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1-58 of this Complaint as though fully set forth herein.

Samsung realleges and incorporates by reference the allegations of Paragraphs

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As a result of Apple's unlawful infringement of the '792 patent, Samsung has 61. suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the damages suffered by Samsung as a result of its unlawful acts.

62. On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm - for which there is no adequate remedy at law - from such unlawful infringing activities unless this Court enjoins Apple from further infringing activities.

## COUNT V

## **INFRINGEMENT OF THE '001 PATENT**

- 63. Samsung realleges and incorporates by reference the allegations of Paragraphs 1-62 of this Complaint as though fully set forth herein.
- 64. Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has been directly infringing, contributing to the infringement of, and/or inducing others to infringe the '001 patent by making, using, selling, and/or offering to sell in the United States, or importing into the United States, products or processes that practice the inventions claimed in the 22 '001 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple iPhone 4, the iPad 3G, and the iPad 2 3G.
  - As a result of Apple's unlawful infringement of the '001 patent, Samsung has 65. suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the damages suffered by Samsung as a result of its unlawful acts.
- 27 66. On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm - for which there

is no adequate remedy at law – from such unlawful infringing activities unless this Court enjoins

Apple from further infringing activities.

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#### COUNT VI

## INFRINGEMENT OF THE '410 PATENT

- Samsung realleges and incorporates by reference the allegations of Paragraphs
   1-66 of this Complaint as though fully set forth herein.
- 68. Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has been directly infringing, contributing to the infringement of, and/or inducing others to infringe the '410 patent by making, using, selling, and/or offering to sell in the United States, or importing into the United States, products or processes that practice the inventions claimed in the '410 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple iPhone 4, the iPad 3G, and the iPad 2 3G.
  - 69. As a result of Apple's unlawful infringement of the '410 patent, Samsung has suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the damages suffered by Samsung as a result of its unlawful acts.
- 70. On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm for which there is no adequate remedy at law from such unlawful infringing activities unless this Court enjoins Apple from further infringing activities.

#### COUNT VII

#### INFRINGEMENT OF THE '604 PATENT

Samsung realleges and incorporates by reference the allegations of Paragraphs
 1-70 of this Complaint as though fully set forth herein.

Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has

- been directly infringing, contributing to the infringement of, and/or inducing others to infringe
  the '604 patent by making, using, selling, and/or offering to sell in the United States, or
- importing into the United States, products or processes that practice the inventions claimed in the

- '604 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple iPhone 4, the iPad 3G, and the iPad 2 3G. As a result of Apple's unlawful infringement of the '604 patent, Samsung has 73. suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the
- On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm - for which there is no adequate remedy at law - from such unlawful infringing activities unless this Court enjoins Apple from further infringing activities.

damages suffered by Samsung as a result of its unlawful acts.

#### COUNT VIII

## INFRINGEMENT OF THE '179 PATENT

- 75. Samsung realleges and incorporates by reference the allegations of Paragraphs 1-74 of this Complaint as though fully set forth herein.
- Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has 14 76. 15 been directly infringing, contributing to the infringement of, and/or inducing others to infringe the '179 patent by making, using, selling, and/or offering to sell in the United States, or importing into the United States, products or processes that practice the inventions claimed in the 17 18 '179 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple 19 iPhone 4, the iPod Touch, the iPad, the iPad 3G, the iPad2, and the iPad 2 3G.
- 20 As a result of Apple's unlawful infringement of the '179 patent, Samsung has suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the damages suffered by Samsung as a result of its unlawful acts. 22
- 23 78. On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm - for which there 25 is no adequate remedy at law - from such unlawful infringing activities unless this Court enjoins 26 Apple from further infringing activities.

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# COUNT IX

## INFRINGEMENT OF THE '626 PATENT

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- Samsung realleges and incorporates by reference the allegations of Paragraphs 79. 1-78 of this Complaint as though fully set forth herein.
- Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has 80. been directly infringing, contributing to the infringement of, and/or inducing others to infringe the '626 patent by making, using, selling, and/or offering to sell in the United States, or importing into the United States, products or processes that practice the inventions claimed in the '626 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple iPhone 4, the iPod Touch, the iPad, the iPad 3G, the iPad 2, and the iPad 2 3G.
- 81 As a result of Apple's unlawful infringement of the '626 patent, Samsung has suffered and will continue to suffer damage. Samsung is entitled to recover from Apple the 13 damages suffered by Samsung as a result of its unlawful acts.
- 82 On information and belief, Apple intends to continue its unlawful infringing activity, and Samsung continues to and will continue to suffer irreparably harm - for which there 16 is no adequate remedy at law - from such unlawful infringing activities unless this Court enjoins Apple from further infringing activities.

#### COUNT X

#### INFRINGEMENT OF THE '055 PATENT

- 83. Samsung realleges and incorporates by reference the allegations of Paragraphs 1-82 of this Complaint as though fully set forth herein.
- 22 Upon information and belief, in violation of 35 U.S.C. § 271, Apple is and has 84. been directly infringing, contributing to the infringement of, and/or inducing others to infringe the '055 patent by making, using, selling, and/or offering to sell in the United States, or 25 importing into the United States, products or processes that practice the inventions claimed in the 26 '055 patent, including without limitation, the Apple iPhone 3G, the Apple iPhone 3GS, the Apple 27 iPhone 4, the iPod Touch, the iPad, the iPad 3G, the iPad 2, and the iPad 2 3G.

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COMPLAINT FOR PATENT INFRINGEMENT

OUINN EMANUEL URQUHART & SULLIVAN, LLP Charles K. Verhoeven (Cal. Bar No. 170151) charlesverhoeven@guinnemanuel.com 50 California Street, 22nd Floor San Francisco, California 94111 Telephone: (415) 875-6600 Filed Facsimile: (415) 875-6700 F-filing Kevin P.B. Johnson (Cal. Bar No. 177129) kevinjohnson@quinnemanuel.com APK 2 7 2011 6 Victoria F. Maroulis (Cal. Bar No. 202603) victoriamaroulis@quinnemanuel.com RICHARD W. WIEKING CLERK, U.S. DISTRICT COURT 555 Twin Dolphin Drive 5th Floor Redwood Shores, California 94065 ADR NORTHERN DISTRICT OF CALIFORNIA SAN JOSE Telephone: (650) 801-5000 Facsimile: (650) 801-5100 Edward J. DeFranco (Cal. Bar No.165596) eddefranco@quinnemanuel.com 51 Madison Avenue, 22nd Floor New York, New York 10010 Telephone: (212) 849-7000 Facsimile: (212) 849-7100 Michael T. Zeller (Cal. Bar No. 196417) michaelzeller@quinnemanuel.com 865 S. Figueroa St., 10th Floor Los Angeles, California 90017 Telephone: (213) 443-3000 Facsimile: (213) 443-3100 16 Attorneys for Samsung Electronics Co., Ltd. and Samsung Telecommunications America, LLC 18 UNITED STATES DISTRICT COURT 19 NORTHERN DISTRICT OF CALIFORNIA 20 SAN JOSE DIVISION 21 SAMSUNG ELECTRONICS CO., LTD., V PASE 10-2079 Korean corporation, and SAMSUNG U TELECOMMUNICATIONS AMERICA, ATTACHMENT TO THE REPORT ON 23 LLC, a Delaware limited liability company, THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR 24 Plaintiffs, TRADEMARK 25 VS. APPLE INC., a California corporation, 27 Defendant.

ATTACHMENT TO THE REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

03498.51845/4112329.1

DATED: April 27, 2011 12 13

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Respectfully submitted,

Charles K. Verhoeven

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Attorneys for Plaintiffs Samsung Electronics Co., Ltd. and Samsung Telecommunications America, LLC

#### DEMAND FOR JURY TRIAL

Pursuant to Rule 38 of the Federal Rules of Civil Procedure, Plaintiffs hereby demand a trial by jury as to all issues so triable.

DATED: April 27, 2011

Respectfully submitted,

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Attorneys for Plaintiff
Samsung Electronics Co., Ltd. and Samsung
Telecommunications America, LLC

The above-captioned case has been filed in the U.S. District Court on the following U.S.

2 patents.

| 3 | Patent No. | Date of Patent     | Holder of Patent              |
|---|------------|--------------------|-------------------------------|
| 4 | 7,675,941  | March 9, 2010      | Samsung Electronics Co., Ltd. |
|   | 7,362,867  | April 22, 2008     | Samsung Electronics Co., Ltd. |
| 5 | 7,447,516  | November 4, 2008   | Samsung Electronics Co., Ltd. |
| 6 | 7,200,792  | April 3, 2007      | Samsung Electronics Co., Ltd. |
|   | 7,386,001  | June 10, 2008      | Samsung Electronics Co., Ltd. |
| 7 | 7,050,410  | May 23, 2006       | Samsung Electronics Co., Ltd. |
|   | 6,928,604  | August 9, 2005     | Samsung Electronics Co., Ltd. |
| 8 | 6,292,179  | September 18, 2001 | Samsung Electronics Co., Ltd. |
| 9 | 7,009,626  | March 7, 2006      | Samsung Electronics Co., Ltd. |
| 7 | 7,069,055  | June 27, 2006      | Samsung Electronics Co., Ltd. |

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DATED: April 27, 2011

Respectfully submitted,

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03498.51845/4112329.1

Ouinn Emanuel Urguhart & Sullivan LLP

Charles K. Verhoeven Kevin P.B. Johnson Victoria Maroulis Edward J. DeFranco Michael T. Zeller

Attorneys for Plaintiffs
Samsung Electronics Co., Ltd. and Samsung
Telecommunications America, LLC

Charles K. Verhoeven (Bar No. 170151) charlesverhoeven@quinnemanuel.com QUINN EMANUEL URQUHART & SULLIVAN, LLP 50 California Street, 22nd Floor San Francisco, California 94111 Telephone: (415) 875-6600 Facsimile: (415) 875-6700 5 Kevin P.B. Johnson (Bar No. 177129) kevinjohnson@quinnemanuel.com 6 Victoria F. Maroulis (Bar No. 202603) victoriamaroulis@quinnemanuel.com ORIGINAL FILED **OUINN EMANUEL URQUHART &** SULLIVAN, LLP 555 Twin Dolphin Drive, 5th Floor Redwood Shores, California 94065 1 APR & 7 2011 Telephone: (650) 801-5000 Richard W. Wieking Clerk, U.S. District Court enthern District of California Facsimile: (650) 801-5100 Edward DeFranco (Bar No. 165596) 11 eddefranco@quinnemanuel.com QUINN EMANUEL URQUHART & ADR 12 SULLIVAN, LLP 51 Madison Avenue, 22nd Floor New York, New York 10010 Telephone: (212) 849-7000 Facsimile: (212) 849-7100 15 Michael T. Zeller (Bar No. 196417) michaelzeller@quinnemanuel.com **OUINN EMANUEL URQUHART &** SULLIVAN, LLP 865 S. Figueroa St., 10th Floor Los Angeles, California 90017 Telephone: (213) 443-3000 Facsimile: (213) 443-3100 19 Attorneys for Plaintiffs Samsung Electronics Co., Ltd. and 20 Samsung Telecommunications America, LLC UNITED STATES DISTRICT COURT 21 NORTHERN DISTRICT OF CALIFORNIA 22 SAN JOSE DIVISION CAMP1-02079 23 SAMSUNG ELECTRONICS CO., LTD., a Korean corporation, and SAMSUNG TELECOMMUNICATIONS AMERICA, COMPLAINT FOR PATENT LLC, a Delaware limited liability company, 25 INFRINGEMENT Plaintiffs. JURY TRIAL DEMANDED 26 VS. APPLE INC., a California corporation,

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Defendant.

Plaintiffs Samsung Electronics Co., Ltd. ("SEC") and Samsung Telecommunications 1 America, LLC ("STA") (collectively, "Samsung") complain and allege as follows against Apple Inc. ("Apple"): 3 THE PARTIES 4 Plaintiff SEC is a corporation organized under the laws of Korea, with its 5 1. principal place of business at 416 Maetan-3dong, Yeongtong-gu, Suwon-City, Gyeonggi-do, 7 Korea 443-742. Plaintiff STA is a limited liability company organized under the laws of 8 2. Delaware, with its principal place of business at 1301 East Lookout Drive, Richardson, Texas 75082. 10 3. Upon information and belief, Apple is a corporation organized under the laws of 11 California and has its principal place of business at 1 Infinite Loop, Cupertino, California 95014. Upon information and belief, Apple imports into the United States, offers for sale, sells and/or 13 uses in the United States mobile electronic devices. 14 15 NATURE OF THE ACTION This is an action for patent infringement. 16 4 Apple has infringed and continues to infringe, contribute to the infringement of, 17 5. and/or actively induce others to infringe SEC's U.S. Patent No. 7,675,941 ("the '941 patent"), 18 U.S. Patent No. 7,362,867 ("the '867 patent"), U.S. Patent No. 7,447,516 ("the '516 patent"), U.S. 19 Patent No. 7,200,792 ("the '792 patent"), U.S. Patent No. 7,386,001 ("the '001 patent"), U.S. 20 Patent No. 7,050,410 ("the '410 patent"), U.S. Patent No. 6,928,604 ("the '604 patent"), U.S. Patent No. 6, 292, 179 ("the '179 patent"), U.S. Patent No. 7,009,626 ("the '626 patent"), and U.S. 22 Patent No. 7,069,055 ("the '055 patent") (collectively "the patents-in-suit"). 24 JURISDICTION AND VENUE This lawsuit is an action for patent infringement arising under the patent laws of 25 6

the United States, 35 U.S.C. §§ 1 et seq. This Court has jurisdiction over this action pursuant to

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27 28 28 U.S.C. §§ 1331 and 1338.

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Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391(b) and (c) 8 and 1400(b) because Apple does business in the State of California, has committed acts of infringement in this State and in this District, has a regular and established place of business in this District, and is subject to personal jurisdiction in this District.

## INTRADISTRICT ASSIGNMENT

14 9 Pursuant to Civil L.R. 3-2(c), this case is appropriate for assignment on a district-wide basis because this is an Intellectual Property Action.

## FACTUAL BACKGROUND

- 17 10. From its inception as a small business in Taegu, Korea, Samsung has grown to become one of the world's leading electronics companies, specializing in digital products and media, semiconductors, memory, and system integration. Today Samsung's innovative and top 20 quality consumer products are widely recognized and appreciated across the globe.
  - 11. Samsung has a long history of groundbreaking innovation across a wide range of technologies. Samsung's commitment to innovation is demonstrated in part by the billions of dollars in research and development expenditures incurred over the years. From 2005 through 2010 alone, Samsung invested more than \$35 billion in research and development. More than a quarter of all Samsung employees - over 50,100 engineers overall, including about 8,700 in telecommunications - daily engage in cutting-edge research and development projects.
- 27 12. Samsung's commitment to innovation and investment in research and development is demonstrated by the fact that Samsung has in its portfolio as of April 1, 2011,

- 13. Samsung's research and development successes have propelled the company to its status as the largest provider by volume of mobile devices in the United States and the second largest in the world. During the last half of 2010, Samsung sold more Android-based devices worldwide than any other company.
- 14. Samsung has been a pioneer in the mobile device business sector since the inception of the mobile device industry. In 1999, Samsung introduced its first multi-function "smart phone" that provided both internet access (for sending E-mails and for electronic chatting) and personal digital assistant ("PDA") features. In 2001 Samsung introduced into the United States the first PDA phone with a 256-color screen, selected as one of the Best Products of 2001 by BusinessWeek magazine.
- 15. Also in 2001, Samsung broke the 1 cm technological barrier and stunned the industry with an ultra-slim, lightweight flip phone that was only 9.8 mm thick. This innovation sparked the ultra-portable mobile phone revolution spurring dozens of competitors to slim down the design form factors and develop their own portable mobile phone technology design. In 2002, Samsung unleashed the world's first high-definition color LCD display suitable for mobile devices. Now users could browse the web and view images directly from their mobile devices in true color. In 2004, after selling over 20 million mobile handsets in the United States, Samsung announced the first mobile phone that supported digital multimedia broadcast via satellite. Users could now watch streaming high-quality multimedia content wherever they traveled.
- 16. Samsung announced the world's first five and seven megapixel camera phones in late 2004 and early 2005, respectively. Now, mid- to high-range digital camera functionality could be integrated with mobile handsets, allowing users to snap life-like photos on-the-go. In 2008, Samsung became the leading mobile handset vendor in the United States.
- Samsung has also continuously innovated in the area of mobile phone displays.
   In 2008 and 2009, Samsung released the industry's first high resolution AMOLED display for a

mobile phone. The new display featured a 180-degree viewing angle and reduced power consumption when compared to traditional LCD displays. Samsung's clearer and brighter Super AMOLED displays continued to improve mobile phone displays with 30% better color reproduction over the best quality LCD displays.

- 18. Samsung's innovative features, including the integrated high resolution cameras and displays, were the result of the exceptional creativity and ingenuity of thousands of Samsung engineers across the globe engaged in cutting-edge research and development projects.
- 19. Samsung's innovative contributions to the mobile device industry have been recognized through numerous awards for excellence in mobile device design. For example, Samsung has received numerous "Red Dot Design Awards" in one of the largest international design competitions. Similarly, a number of Samsung mobile devices have been awarded the "iF Design Award" from the iF Industrie Forum Design e.V., based in Germany, for features such as the user interface. The iF Designs Awards are among the most important in the world, as evidenced by more than 11,000 annual entries from almost 50 countries. Samsung has also received a number of "Good Design Awards" from the Japan Industrial Design Promotion Organization (JIDPO). Overall, Samsung's mobile phones and devices have won close to 60 awards between 2007 and the beginning of 2011.
- 20. Samsung has consistently introduced market-relevant and innovative products, including over 1,500 mobile phone models, incorporating Samsung patented technology, into the U.S. marketplace since 1997. Over 281 million Samsung mobile devices have been sold since 1997.
- 22 21. Without the ability to enforce its intellectual property rights, such as those
  23 relating to mobile device technology at issue in this action, Samsung would not be able to sustain
  24 the extensive commitment to research and development that has enabled it to lead the way into
  25 numerous improvements across a broad range of technologies, including the mobile device
  26 technologies at issue in this action.

## SAMSUNG'S INTELLECTUAL PROPERTY RIGHTS

- 22. Samsung's patents relate to fundamental innovations that increase mobile device reliability, efficiency, and quality, and improve user interface in mobile handsets and other products. These innovations are critical to the user's ability to communicate with family, friends, and business associates reliably and effectively.
- 6 In communications systems, standards that prescribe the formats for sending information are essential to ensure that mobile devices made by different manufacturers are 7 capable of interacting within a network. Because interoperability is key for communications, the development of protocols that ultimately result in a technical standard is very important to 10 ensuring an efficient and functional system. As modern wireless networks carry more data at higher data rates and service more users than their predecessors, continued innovation is essential 11 to keep pace with the increased demands on cellular networks. Samsung has been a leader in 12 developing the ideas and protocols needed to increase the efficiency, reliability, and functionality 13 of standards-based networks and the features available in these networks. 14
- 15 24. In the United States, one of the key standards governing cellular communications, known as Wideband Code-Division Multiple-Access (W-CDMA), is published by 3GPP (Third Generation Partnership Project). W-CDMA is one of the main technologies for the implementation of third-generation (3G) and more advanced cellular networks such as those of AT&T and T-Mobile. W-CDMA is the most common form of air interface standard within the Universal Mobile Telecommunications System (UMTS) telecommunications technologies standard. Samsung has made key inventions that are part of these standards.
  - 25. The Samsung W-CDMA and UMTS patents at issue in this action relate to reliability, capacity, efficiency, compatibility, and functioning of mobile devices in W-CDMA and UMTS networks.
- 25 26. Samsung's technology relating to transmission of multiple streams, including voice and data, onto a single radio channel, allows users to talk on a mobile device and view and transmit information at the same time.

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